Criteria of Adverse Effect

This document provides an assessment of effects on historic resources associated with the Revitalization of the Historic Core (RoHC) project. Effect assessments are based on the criteria of adverse effect as defined in the implementing regulations of Section 106 of the National Historic Preservation Act (36 CFR Part 800). The criteria of adverse effect are defined as follows:

An adverse effect is found when an undertaking may alter, directly or indirectly, any of the characteristics of a historic property that qualify the property for inclusion in the National Register of Historic Places in a manner that would diminish the integrity of the property's location, design, setting, materials, workmanship, feeling, or association. Consideration shall be given to all qualifying characteristics of a historic property, including those that may have been identified subsequent to the original evaluation of the property's eligibility for the National Register. Adverse effects may include reasonably foreseeable effects caused by the undertaking that may occur later in time, be farther removed in distance or be cumulative (36 CFR § 800.5(a)(1)).

Project Background

This project provides a comprehensive rehabilitation of the Smithsonian Institution Building (Castle) and the Arts & Industries Building (AIB) to address physical deterioration, obsolete infrastructure and systems, non-compliance with building codes, construction of a below-grade Central Utility Plant and enhanced loading dock that link and serve both buildings. The Castle and the AIB are National Historic Landmarks, individually listed in the National Register of Historic Places and the DC Inventory of Historic Sites, and are contributing elements of the National Mall Historic District listed in the National Register.



Arts & Industries Building, North Elevation.



Smithsonian Institution Building, South Elevation.

Smithsonian Institution Building – Character Defining Features *

The Smithsonian Institution Building (Castle), designed by James Renwick, Jr., in the Romanesque Revival or Norman Revival style is nationally significant for associations with the history of science and scientific institutions, museums and education; for association with prominent American scientists (National Register Criterion A); as a premiere example of mid-19th century romantic architecture and as a seminal work of Renwick; and for incorporation of innovative fireproof floor construction methods (National Register Criterion C).

The period of significance for the Castle is 1847-1910, to reflect the period of time that best demonstrates significance and historic associations. This date range reflects the lengthy construction that spanned a destructive fire, and later modifications by Adolf Cluss (fireproofing and East Wing

reconstruction) and Hornblower and Marshall (Great Hall modifications, Smithson Crypt, and Children's Room).

| Character Defining | Notes |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature | |
| Setting – Area surrounding base of the building to the north, east, and west, and the South Yard (Haupt Garden) Building Massing and Materials | Current hardscape and landscape significantly modified in the last 30 years. Jefferson Drive only extant roadway from the original landscape setting. Independence Avenue (B Street) remains but is significantly altered. Building entrances maintain relationship with grade as original configuration. Seneca sandstone exterior. Decorative masonry trim, carved corbels, parapets, cornices, finials, arches, piers, and texture of hand chiseled stone faces. Original pointing mortar tinted red to match Seneca sandstone. Central block with similarly scaled wings and hierarchically arranged towers. |
| Windows | Majority of the windows are replacements dating to 1987-1992. c. 1915 windows in the West Range Clerestory and West Wing apse. Original fenestration wood muntins of square panes set in a diamond pattern. Mostly double-hung sash. Photographic document pre-1887 shows size of the diamond pane varied for each window type. |
| Roof Materials and | - Slate shingles and flat seamed lead coated copper. |
| Profiles | - Roofline follows the massing of the building. |
| North and South Towers | Significant scale and decorative stone directs visitors to the primary entrances leading to the primary interior public space (Great Hall). Original doors were wood. North porte cochere indicates primary reception point for visitors by vehicle. Access ramp and stair flanking the North Tower added in 1987. Original sandstone steps at the South Tower retained beneath access ramp. Clock added to Flag Tower in 1966. |
| Perimeter Towers – | - Three of the perimeter towers provide vertical circulation. |
| West Tower, Northwest Tower, Octagon Tower, Campanile Tower, and Southeast Tower. | - Each tower has distinct design detailing. |

* Original National Historic Landmark and National Register nominations are short. Character defining features referenced from "Historic Structure Report, Smithsonian Institution Building, Smith-Group, December 2009." The Historic Structure Report is available on the project webpage.

Arts & Industries Building – Character Defining Features *

The Arts & Industries Building (AIB), designed by Cluss & Schulze, and built in 1879-1881, is nationally significant as the best-preserved example in the United States of 19th century world exposition hall architecture, purpose built to receive, exhibit, and preserve museum collections. AIB is significant for its monumental scale, visible interior structure, and innovative use of daylight and air for a comfortable

museum experience for visitors. The modern Romanesque Revival style architecture of the building relates to the Castle, and the use of red and polychrome brick is significant as the last surviving brick building on the National Mall where this material was once prevalent. The period of significance for AIB is 1881-1902, to reflect the period of time that best demonstrates significance and historic associations. This date range includes interior modifications with the addition of mezzanine galleries by Hornblower & Marshall.

| Character Defining | Notes |
|--------------------|----------------------------------------------------------------------------|
| Feature | |
| Setting | - Current hardscape and landscape significantly modified in the last 30 |
| | years. |
| | - Hardscape paving at immediately adjacent sidewalks and entrance |
| | locations. |
| | - Building entrances maintain relationship with grade as original |
| | configuration. |
| Building Massing | - Interior building volumes articulate the monumental square building on |
| | the exterior. Cruciform halls radiate from the central Rotunda capped |
| | with a dome and cupola. |
| | - Towers mark the hall entrances, and corner pavilions and annexes with |
| | tall towers maintain the corners. |
| Roof Materials and | - Interior building volumes articulate the roof profile, with gable, dome, |
| Profiles | hip, shed and pyramidal forms. |
| | - Clerestories, monitors, and skylights bring in daylight and air, and |
| | articulate the expansive roof planes. |
| | - Three slate shingle types (gray, red, green) and standing seam stainless |
| | steel. |
| Exterior Masonry | - Polychrome brickwork combines red brick with stylized colored bricks |
| | (black, buff, blue) that accent the structure. Elevations are symmetrical; |
| | decorative brick patterns concentrated below windows, spandrels, and |
| | arches over window openings, and cornice. Black bricks form horizontal |
| | perimeter bands. |
| | - Sandstone sills and door surrounds. Engraved "National Museum 1879" |
| | at the north gable. |
| Windows | - Exterior windows are all blast resistant replacements installed c. 2014. |
| | - Replacement windows incorporate replicated frosted glazing for light |
| | diffusion. |
| | - Decorative colored glass retained at windows above primary entrances. |
| Entrances | - Four primary entrances at each hall tower centered on the elevations. |
| | Entrance vestibules have glazed brick and vaulted ceilings. Primary |
| | entrance doors replaced c. 1970s. |
| | - Only remaining historic door at the NW corner tower, featuring wood |
| | construction, glass, and iron grille. |
| Decorative Metal | - Along roof edges: acroteria, fan-shaped, finials, cornice. |
| | - Iron gates at the primary hall entrances. |
| | - Caspar Buberl painted zinc statue at the north entrance of "Columbia |
| | protecting science and industry." |

* Original National Historic Landmark and National Register nominations are short. Character defining features referenced from "Historic Structure Report and Conditions Assessment, Smithsonian Institution Arts & Industries Building, Ewing Cole, August 2009." The Historic Structure Report is available on the project webpage.

Assessment of Effects on Historic Resources

The following provides an assessment of effects of each feature or action of the Revitalization of the Historic Core. An effect determination is proposed based on the criteria of adverse effect, with additional information or comments provided as applicable. For more images and information on each action and assessment please review the presentation materials from Section 106 Consulting Parties Meeting #3 (Schematic Design – November 16, 2021 and Draft Assessment of Effects – December 14, 2021) available on the project webpage.

| Site - General | |
|------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action | Design Details |
| | Landscape features and hardscape disturbed by the project limit of disturbance will be replaced in-kind, including a portion of the Folger Rose Garden, Ripley Garden, Haupt Garden, and its Fountain Garden. Character of the existing hardscape and landscape will be maintained. Tree plantings will be setback from the Castle. Haupt Garden west hardscaped path will be reduced to accommodate AIB areaways. |
| Images | Additional Information |
| <image/> <caption></caption> | Setting of the Castle and the AIB are character defining features. Ripley Garden, Haupt Garden, and Folger Rose Garden are documented in the National Mall Historic District nomination as part of the landscape settings, not as a contributing resource. Current tree plantings are immediately adjacent to the Castle causing biological growth on the Seneca sandstone. Landscape settings feature a mix of large structural trees (evergreen and deciduous), large shrubs/small trees, low shrubs, and groundcover. Diversity and hierarchy of plantings will be maintained. Modifications to the eastern portion of the Folger Rose Garden required for accommodation of accessibility within the narrow public sidewalk and site condition. See also "AIB North Entrance – Accessibility" and "AIB – Areaways" for more information. |

Proposed Effect Determination – No Adverse Effect

| Site - General | |
|------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action | Design Details |
| Perimeter Security | Secure perimeter required around both buildings. Both buildings have no available setback and narrow sidewalks. Pursuing a combination of hardened bollards (stone and metal), fencing, landscape wall features, benches, and lighting. |
| Images | Additional Information |
| <image/> <caption><image/><image/></caption> | Setting is a character defining feature of both buildings. Perimeter security adversely effects the setting of both buildings, and relationship with the National Mall context. Buildings have no stand-off distance available from the roadbeds. Castle porte cochere is less than 5' from the roadbed curb. Bollards proposed at the curbs to maximize security distance. Retractable bollards at key locations to facilitate maintenance and emergency vehicle access. Potential to minimize adverse effect through consultation as the design develops. Perimeter security design must provide a secure perimeter without obstructing access to the buildings and sites. Adverse effect may be minimized through material selections and site- specific design detailing. |
| Proposed Effect Determination – Adverse Effect | |

Proposed Effect Determination – No Adverse Effect

| Site - General | |
|---------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action | Design Details |
| Lighting | Light posts proposed along sidewalks and garden visitor pathways in keeping with the historic context and National Mall light posts. Light posts will be placed throughout the landscape to provide a unified treatment, and a contextual design for the historic core setting. |
| Images | Additional Information |
| <image/> <image/> | Light post design is under development and will align with District of Columbia standards and the National Capital Planning Commission's Monumental Core Streetscape Framework. Majority of existing light posts were installed c. 1976. Five fixtures on Independence Avenue owned by the District Depart of Transportation, and SI will coordinate this work. Existing building specific fixtures will be restored and rehabilitated for energy efficient lighting. Building façade lighting will be accomplished through discreet fixtures placed in the landscape. |
| Torchiere light fixture at the AIB north entrance that will be restored and retained. | Existing light post in the flaupt Galuen. |

| Eastura/Action | Design Details |
|----------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action Areaways (Located in the northwest, southwest, and southeast) | Hardscape and vegetation displaced or disturbed by installation of egress and mechanical areaways will be replaced with compatible materials and layout. Egress areaway landings are partially below-grade. |
| Images | Additional Information |
| <image/> <complex-block></complex-block> | Setting is a character defining feature. Hardscape and vegetation at the AIB west façade is as designed in 1987. Hardscape is brick paving. Ripley Garden and Haupt Garden are documented in the National Mall Historic District nomination as part of the landscape setting, not as a contributing resource. Hardscape and landscape character of the Ripley and Haupt Gardens will be maintained. At-grade mechanical areaways are related to the CUP. Haupt Garden west hardscaped path slightly reduced to accommodate egress and mechanical areaways. Hardscape layout and brick paving are maintained. Mechanical areaways and steel grates adjacent to the Haupt Garden will be obscured with plantings. Mechanical areaway adjacent to the east elevation will be obscured by the |
| EX. STEPS W HANDRALLS & RESERS FALL PROTECTION RALLING ON GIR CLIRGE TYP 1057MG 1057TM | surface parking lot and ornamental fence. - Fall protection railings for the egress landings will be visible within the Haupt Garden. - See also "Arts & Industries Building Areaways" for more information on building effects. |

| Site – Arts & Industries Building | |
|-------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action | Design Details |
| Northeast Building Egress – Ripley Garden | Proposed egress door at AIB east elevation, north side, requires modifications to site walls and a planting bed within the Ripley Garden. Opening is created in the elevated brick Ripley Garden planter walls. Brick garden walls and brick paving are extended to create a connection to the new egress door. |
| Images | Additional Information |
| <image/> <text></text> | Ripley Garden is documented in the National Mall Historic District nomination as part of the landscape setting, not as a contributing resource. Ripley Garden planter walls are retaining and are 3' above grade. Proposed egress door provides emergency egress from AIB. Curvilinear hardscape paths, brick material, and landscape character of the Ripley Garden will be maintained. See also "AIB – Egress Doors on East and West Elevations" for more information. |

| Site – Arts & Industries Building | |
|--------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action | Design Details |
| Surface Parking Area East of AIB | Reduction of the existing amount of paved area and parking spaces. Expansion of the existing design and planted areas at the pedestrian path connecting the Ripley Garden to Independence Avenue. Installation of decorative iron fencing and gates at the pedestrian path and Ripley Garden entrance at Jefferson Drive for security closure off-hours. |
| Images | Additional Information |
| <complex-block><complex-block><image/><image/></complex-block></complex-block> | Ripley Garden is documented in the National Mall Historic District nomination as part of the landscape setting, not as a contributing resource. Hardscape and landscape character of the Ripley Garden will be applied to the expansion. Parking area is currently obscured with a wood fence at the perimeter of the paved area, which includes workspace for Smithsonian Gardens. Smithsonian Garden workspace will be maintained in the reduced paved area and screened with an ornamental fence. Guard booth at Independence Avenue will be replaced. Decorative iron security gates are proposed at the pedestrian entrances to secure the Ripley Garden and path to Independence Avenue during closed hours. Proposed security gates are consistent with the decorative gate security measures currently in place at the adjacent Haupt Garden. |
| Proposed Effect Determination – No Adverse Effect | |

| Areaways - Recessed areaways and at-grade aprons proposed around the Castle perimeter. - Recessed areaways bring light to public spaces in the basement level. Recessed areaways are wider on the south wide. Images Additional Information Images - Setting is a character defining feature. - Castle currently has 393' linear feet of areaway (recessed well), and 220' existing linear feet of apron (paving at grade). - Proposed Castle site plan. Blue indicates recessed areaways. Purple indicates aprons. Images - Recessed areaways and at-grade aprons proposed areaways are wider on the south wide. Images - Setting is a character defining feature. - Castle currently has 393' linear feet of areaway (recessed well), and 220' existing linear feet of apron (paving at grade). - Proposed Castle site plan. Blue indicates recessed areaways. Purple indicates aprons. - Recessed areaways will be screened from view with placement of public paths and vegetation, and obscured from visibility in the Haupt Garden and landscaped setting north of the Castle. - South areaways are open or feature a terraced wall below-grade. | Feature/Action | Design Details |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| - Castle currently has 393' linear feet of areaway (recessed well), and 220' existing linear feet of apron (paving at grade). - Proposed Castle site plan. Blue indicates recessed areaways. Purplindicates aprons. - Recessed areaways require fall protection metal railings. - Recessed areaways will be screened from view with placement of public paths and vegetation, and obscured from visibility in the Haupt Garden and landscaped setting north of the Castle. - South areaways are open or feature a terraced wall below-grade. - Character of the hardscape and landscape of the Haupt Garden and setting north of the Castle will be maintained. - See also "SIB – Areaways" for more information. | Areaways | Recessed areaways and at-grade aprons proposed around the Castle perimeter. Recessed areaways bring light to public spaces in the basement level. Recessed areaways are wider on the south wide. Additional Information |
| | <image/> <text><image/></text> | Castle currently has 393' linear feet of areaway (recessed well), and 220' existing linear feet of apron (paving at grade). Proposed condition combines and regularizes the Castle base condition with 575' of areaways and 640' of apron. Recessed areaways require fall protection metal railings. Recessed areaways will be screened from view with placement of public paths and vegetation, and obscured from visibility in the Haupt Garden and landscaped setting north of the Castle. South areaways are open or feature a terraced wall below-grade. Character of the hardscape and landscape of the Haupt Garden and setting north of the Castle will be maintained. See also "SIB – Areaways" for more |

| Site – Smithsonian Institution Building | |
|------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action | Design Details |
| Seismic Control Joint Images | Seismic base isolation joint is required around the Castle perimeter. Seismic control joint cover is 18-24" and visible at grade. Additional Information |
| <image/> <image/> <image/> <image/> | Setting is a character defining feature. Seismic control joint is associated with base isolation, which separates the building from the ground motion. Achieved by creating a plane of separation between the superstructure and the foundations. Seismic base isolation joint will be incorporated into the recessed areaways and aprons. Seismic control joint cover plate can accept a variety of finishes, including planting, gravel, pavers, and architectural features. Adverse effect may be minimized through consultation as the design develops considering materials and treatments that minimize visual impact. Seismic control joint finish options will be reviewed in consultation through field mock-ups. See also "SIB – Seismic Control Joint" for more information. |
| Proposed Effect Determination – Adverse Effect | |

| in unexa Quadrat - CUP is outside B1 level the exis and sem - Requir tempor Haut G Images Addition - CUP w but is de building - CUP de grade Q - CUP de gra | |
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| CUP w but is de building c-CUP de grade Q CUP de grade Q<td>al Utility Plant (CUP) proposed acavated areas between the AIB, angle, and Castle. Is connected to an expansion of the Castle footprint at the I, which provides connection to sting Quadrangle loading dock, rvice functions. res construction related rary removals of portions of the Garden and its Fountain Garden.</td> | al Utility Plant (CUP) proposed acavated areas between the AIB, angle, and Castle. Is connected to an expansion of the Castle footprint at the I, which provides connection to sting Quadrangle loading dock, rvice functions. res construction related rary removals of portions of the Garden and its Fountain Garden. |
| CUP w but is de building grade Q CUP de grade Q CUP en the Source expansion. Purple indicates the CuP. Gray indicates current Quadrangle footprint. Potent adverse building address. Agreem Baselin develop requiree South M Agreem | onal Information |
| | ne building conditions and oment of a Monitoring Plan of by Stipulation 7.C of the Mall Master Plan Programmatic nent. C Garden will be restored in all ed areas related to |

| Fasture / Action | Design Details |
|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action Visual Impacts Above-Grade – Exhaust | Design Details Exhaust for the Central Utility Plant (CUP) is grouped together at the southeast corner of the Haupt Garden. Exhaust is grouped together at the National Museum of African Art pavilion paved area, screened with an extension of the existing high granite wall. |
| Images | Additional Information |
| <image/> <caption></caption> | National Museum of African Art pavilion features a paved area and granite wall adjacent to the AIB and the west Haupt Garden path. Granite wall is approximately 9'6" high. Air intake for the CUP occurs through the mechanical areaways on the west and east sides of the AIB. Egress from the CUP occurs through the Castle south areaways or loading dock. No separate egress stairs are required. Exhaust equipment will not be visible, but the stone enclosure wall will be visible from Independence Avenue and within the Haupt Garden. Adverse effect and impact is minimized through grouping all exhaust equipment together, and adjacent to hardscape features to minimize impact to the landscape and the African Art pavilion. |

| Feature/Action | |
|-------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Design Details |
| Extent of Excavation – Adjacent to Castle | Excavation occurs adjacent to the Castle for the B1 level Castle Expansion proposed in an unexcavated area between the Quadrangle and Castle. Castle Expansion aligns with the depth of the B1 level of the Quadrangle Building. CUP is connected to the expansion outside of the Castle footprint at the B1 level, which provides connection to the existing Quadrangle loading dock, and service functions. |
| Images | Additional Information |
| <image/> | B1 level Castle expansion allows for the majority of service functions and infrastructure to be placed outside the Castle footprint, prioritizing the historic interiors for public programming and use. Potential construction related adverse effects from excavation o building vibration. This will be addressed in the Memorandum of Agreement. Baseline building conditions and development of a Monitoring Plan required by Stipulation 7.C of the South Mall Master Plan Programmatic Agreement. Adverse effect is minimized through limiting the Castle adjacent excavation to one level |

| | During During |
|------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action | Design Details |
| Penetrations at Castle Basement Level or Foundations | CUP is connected to the expansion outside of the Castle footprint at the B1 level, which provides connection to the existing Quadrangle loading dock, and service functions. B1 level Castle Expansion proposed in an unexcavated area between the Quadrangle and Castle. Four penetrations through the Castle basement or foundation are proposed for staff access to the B1 level Castle expansion. |
| Images | Additional Information |
| <image/> <image/> | Utilities will not penetrate historic foundations of the Castle or AIB. B1 level Castle expansion allows for the majority of service functions and infrastructure to be placed outside the Castle footprint, prioritizing the historic interiors for public programming and use. All proposed work is below-grade, with the minimum amount of connections to the B1 expansion. Narrow future public connection at the B2 level will be constructed. No modifications to the Quadrangle Building are proposed under this project. Potential construction related adverse effects from creating the openings or building vibration. This will be addressed in the Memorandum of Agreement. Baseline building conditions and development of a Monitoring Plan required by Stipulation 7.C of the South Mall Master Plan Programmatic Agreement. |

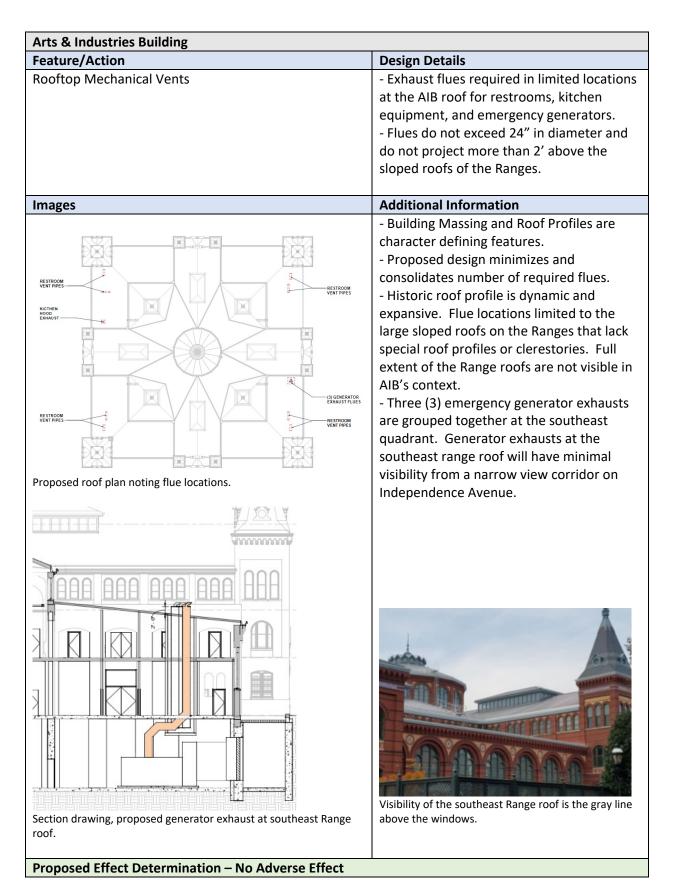
| Cooling Towers | |
|---------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action | Design Details |
| Enclosure | Exterior cooling towers are proposed within the southwest corner of the parking lot of the National Museum of Natural History (NMNH) connected below-grade to the Central Utility Plant within the South Mall Campus. Cooling towers and enclosure proposed behind existing granite perimeter security walls and plantings. |
| Images | Additional Information |
| <image/> <image/> | NMNH is a contributing resource of the National Mall Historic District and is individually eligible for the National Register of Historic Places. Proposed cooling tower location is adjacent to the building loading dock and other small existing service structures. Parking lot is recessed below the grade of Madison Drive and 12th Street approximately 23'. Proposed cooling tower enclosure will be 9'8" above the grade of the sidewalks. Existing cooling towers with a Milford Pink granite enclosure installed c. 1991 at the southeast corner of the site. Enclosure is visible from the sidewalk and is articulated to relate to the NMNH's architectural features. Existing cooling tower enclosure at the southeast corner of the NMNH site is approximately 7' above the Madison Drive sidewalk grade. NMNH features five different types of historic granites. Majority of the site walls are Milford Pink granite. Visual presence of the cooling tower enclosure may be minimized through material selection and articulation. Proposed location partially below-grade within a paved area at NMNH has less adverse impacts than locating the cooling towers at the South Mall Campus with very little available area. |
| Proposed Effect Determination – No Adverse Effect | |

| site at a below-grade paved parking area. Proposed cooling tower enclosure will be 9'8" above the grade of the sidewalks. Fall protection railings proposed mounted to the top of the retaining walls. Images Additional Information NMNH is a contributing resource of the National Mall Historic District and is individually eligible for the National Register. Parking lot is recessed below the grade of Madison Drive and 12th Street approximately 23'. Fall protection railings are not currently present and is an unsafe condition. Existing vehicular rated perimeter security fencing will be maintained. Landscaped replaced, and the dense plantings and species diversity will be maintained. Landscaped replaced, and the dense plantings and species diversity will be maintained. Landscaped replaced, and the dense plantings and species diversity will be maintained. Cooling tower enclosure visibility from 12th Street. Cooling tower enclosure of two visible plumes from the existing and proposed cooling towers may adversely effect the 10th Street vista and the | Cooling Towers | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Historic District Proposed at the southwest corner of the NMNH site at a below-grade paved parking area. - Proposed cooling tower enclosure will be 9'8" above the grade of the sidewalks. - Fall protection railings proposed mounted to the top of the retaining walls. Additional Information - NMNH is a contributing resource of the National Mall Historic District and is individually eligible for the National Register. - Parking lot is recessed below the grade of Madison Drive and 12 th Street approximately 23'. Fall protection railings are not currently present and is an unsafe condition. - Existing vehicular rated perimeter security fencing will be maintained. Landscape is part of Smithsonian Gardens' Urban Bird Habitat. Landscape regraded and th site retaining walls will be maintained. Landscape is part of Smithsonian Gardens' Urban Bird Habitat. Landscape regraded and th site retaining walls will be maintained. Landscape is part of Smithsonian Gardens' Urban Bird Habitat. Landscape regraded and th site retaining walls will be maintained. Landscape replaced, and the dense plantings and species diversity will be maintained. Landscape replaced, and the dense plantings and species diversity will be maintained. Landscape replaced, and the dense plantings. - Cooling tower enclosure visibility from 12 th Street. - Dense plantings obscure the cooling tower enclosure and fall protection railing visibility. - Cooling tower enclosure does not directly obscure visibility of NMNH, but is visible adjacent within the site from 12 th Street and Madison Drive. - The 10 th Street vista looking north and south it a significant viewshed that contributes to the significance of the National Mall Historic Distric - Appearance of two visible plumes from the existing and proposed cooling towers may adversely effect the 10 th Street vista and the | Feature/Action | Design Details |
| NMNH is a contributing resource of the National Mall Historic District and is individually eligible for the National Register. Parking lot is recessed below the grade of Madison Drive and 12th Street approximately 23'. Fall protection railings are not currently present and is an unsafe condition. Existing vehicular rated perimeter security fencing will be maintained within the landscape - Landscaped replaced, and the dense plantings and species diversity will be maintained. Landscape is part of Smithsonian Gardens' Urban Bird Habitat. Landscape regraded and the site retaining walls will be minimally raised. Dense plantings obscure the cooling tower enclosure visibility from 12th Street. Cooling tower enclosure visibility from 12th Street. Cooling tower enclosure visibility from 12th Street. The 10th Street vista looking north and south is a significant viewshed that contributes to the significance of the National Mall Historic Distric - Appearance of two visible plumes from the existing and proposed cooling towers may adversely effect the 10th Street vista and the | | proposed at the southwest corner of the NMNH site at a below-grade paved parking area. Proposed cooling tower enclosure will be 9'8" above the grade of the sidewalks. Fall protection railings proposed mounted to |
| National Mall Historic District and is individually eligible for the National Register. Parking lot is recessed below the grade of Madison Drive and 12th Street approximately 23'. Fall protection railings are not currently present and is an unsafe condition. Existing vehicular rated perimeter security fencing will be maintained within the landscape - Landscape replaced, and the dense plantings and species diversity will be maintained. Landscape is part of Smithsonian Gardens' Urban Bird Habitat. Landscape regraded and th site retaining walls will be minimally raised. Dense plantings obscure the cooling tower enclosure does not directly obscure visibility from 12th Street. Cooling tower enclosure visibility from 12th Street. Cooling tower enclosure visibility from 12th Street. The 10th Street vista looking north and south is a significance of the National Mall Historic District. Appearance of two visible plumes from the existing and proposed cooling towers may adversely effect the 10th Street vista and the | Images | Additional Information |
| Cooling tower enclosure visibility from 12 th Street. Plantings, existing perimeter security walls, and tree plantings on Madison Drive. - The 10 th Street vista looking north and south is a significant viewshed that contributes to the significance of the National Mall Historic Distric - Appearance of two visible plumes from the existing and proposed cooling towers may adversely effect the 10 th Street vista and the | Cooling tower enclosure visibility from Madison Drive. | National Mall Historic District and is individually eligible for the National Register. - Parking lot is recessed below the grade of Madison Drive and 12 th Street approximately 23'. Fall protection railings are not currently present and is an unsafe condition. - Existing vehicular rated perimeter security fencing will be maintained within the landscape. - Landscaped replaced, and the dense plantings and species diversity will be maintained. Landscape is part of Smithsonian Gardens' Urban Bird Habitat. Landscape regraded and the site retaining walls will be minimally raised. - Dense plantings obscure the cooling tower enclosure and fall protection railing visibility. - Cooling tower enclosure does not directly obscure visibility of NMNH, but is visible adjacent within the site from 12 th Street and Madison Drive. |
| security to Remain dependence of the National Mall Historic District - Appearance of two visible plumes from the existing and proposed cooling towers may adversely effect the 10 th Street vista and the | Cooling tower enclosure visibility from 12 th Street. | - The 10 th Street vista looking north and south is |
| RAINGARDEN NATIONAL MISTORI DIStrict. | SECURITY TO REMAIN 25.0+ 10 SIDEWALK MADISON DR SHALLOW SWALE FOR DRAINAGE POTENTIAL MICRO | significance of the National Mall Historic District. - Appearance of two visible plumes from the existing and proposed cooling towers may |
| Detail section drawing of the landscape, with proposed fall protection railing. | | colder months. |

| Cooling Towers | |
|--------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action | Design Details |
| Cross National Mall Connection to the South Mall Campus (Below-Grade) | Below-grade connection between the Central Utility Plant and its cooling towers proposed at the southwest corner of the NMNH site. Two options are under consideration – use of the existing Castle/NMNH tunnel connection or creating a new direct bore. For all options there will be no visual change to the National Mall principal east-west green lawn, or to the flanking quadruple rows of American elm trees. |
| Images | Additional Information |
| <image/> <caption></caption> | There is potential for encountering archaeological resources during excavation or construction. This will be addressed in the Memorandum of Agreement. Existing General Services Administration steam tunnel is approximately 18' below- grade. Existing tunnel connection between the Castle/NMNH is approximately 12' below-grade. Maintenance holes may be required in select locations. Maintenance holes will have round metal covers flush with the existing grade. Required maintenance holes will be in keeping with standard sidewalk and street furniture. |
| Proposed Effect Determination – No Adverse Effect | |

| Feature/Action | Design Details |
|------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Arts & Industries Building Feature/Action Excavation of Basement Level (B1) | Design Details- Excavation and expansion of the basement level within the AIB footprint. - Expanded basement level provides building support and infrastructure space and is not intended for public space. - Foundation walls will be underpinned.Additional Information |
| Program Legend Smithsonian Offices Smithsonian Building Services Proposed AIB basement level plan. Note unexcavated area below the Rotunda. | development of a Monitoring Plan required by Stipulation 7.C of the South Mall Master Plan Programmatic Agreement. |

| Arts & Industries Building | |
|------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action | Design Details |
| Louvers at Courts Clerestory Windows | Removal of non-historic c. 2014 window sash and installation of mechanical louvers. Louvers will be concentrated at the southwest and southeast Courts clerestories. Louvers will not be visible from the National Mall side of the building. Louvers will have limited visibility from Independence Avenue. |
| Images | Additional Information |
| <image/> <caption></caption> | Courts historically and currently have monitors with clerestory windows. Louvers currently exist at all Court clerestories in select locations, with some visibility from the National Mall. Monitors are character defining features of the Building Massing and Roof Profiles. Louvers will be finished to match the adjacent window fenestration to minimize adverse effect. |
| Proposed Effect Determination – Adverse Effect | |



| Feature/Action | Design Details |
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| Egress Doors on East and West Elevations | New masonry openings required for four (4) egress doors on the east and west elevations. Egress door at the northeast portion of the building on the east elevation will be close to grade to minimize impacts to the Ripley Garden. Other egress doors will be partially below-grade and connected to a below-grade landing. Historic wood door at the northwest Pavilion Tower will be restored and maintained in situ. |
| Images | Additional Information |
| <image/> <text></text> | Ripley Garden and Haupt Garden are documented in the National Mall Historic District nomination as part of the landscape setting, not as a contributing resource. Hardscape and landscape character of the Ripley and Haupt Gardens will be maintained. AIB has brickwork, white granite course, and exposed gneiss foundations (dressed and rough finish) at the base of the building. New masonry openings require the removal of historic fabric. Doors and masonry openings will be partially 3' below-grade. Adverse effect may be minimized through exposed wall finish treatments as design develops through consultation. Wall finish treatment options will be reviewed in consultation through field mock-ups. Adverse effect may be minimized through door design details and finish treatments. See also "Site – AIB Areaways" and "Site-AIB Northeast Building Egress – Ripley Garden" for more information. |

| Arts & Industries Building Feature/Action Areaways (Located in the northwest, southwest, and southeast) | Design Details - New egress doors on east and west elevations require associated paved landings and stairs up to grade. |
|-------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Areaways (Located in the northwest, southwest, and | New egress doors on east and west elevations require associated paved |
| | Egress landings are approximately 3' below grade and require fall protection railings. Mechanical areaways covered with steel grates proposed at grade, adjacent to the east and west towers. |
| Images | Additional Information |
| <image/> <image/> <image/> <image/> | AIB has brickwork, white granite course, and exposed gneiss foundations (dressed and rough finish) at the base of the building. Historic door at the northwest pavilion tower will be restored and maintained. Egress landings will expose new portions of the foundations, with options under design development for surface treatments and materials to minimize adverse effect. Wall finish treatment options will be reviewed in consultation through field mock-ups. Fall protection railings for the egress landings will be visible within the Haupt Garden. At-grade mechanical areaways are related to the CUP. Mechanical areaways and steel grates adjacent to the Haupt Garden will be obscured with plantings. Mechanical areaway adjacent to the east elevation will be obscured by the surface parking lot and ornamental fence. See also "Egress Doors on East and West Elevations," "Northeast Building Egress – Ripley Garden," and "Surface Parking Area East of AIB" for more information. |

| Arts & Industries Building | |
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| Feature/Action | Design Details |
| South Entrance - Accessibility | Symmetrical accessible walkways to a landing are proposed. Accessible walkways are 4.5' in width, placed behind a low stone seat wall. Accessible walkways include a handrail, fall protection railings are not required. |
| Images | Additional Information |
| <image/> | Additional Information Setting is a character defining feature. South entrance currently has nonhistoric granite stairs (three risers) up to the South Entrance landing, which features decorative tile and replicated iron security gates. South entrance is a primary entrance and accessibility is required from Independence Avenue. Independence Avenue sidewalk is approximately 17' wide. Central axis is maintained through the symmetrical walkway arrangement and extended landing with central stairs. Adverse effect is minimized through the stone seat wall design and material to contextualize the walkways with the base of the AIB. Adverse effect is minimized through the maintenance of the historic landing material and iron security gates. Walkways remove or obscure historic fabric at the sandstone piers and landing stairs. |
| HANDRAILS // TRA | - See also "Perimeter Security" for |
| Proposed South Entrance plan. | more information. |

| Arts & Industries Building | |
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| Feature/Action | Design Details |
| North Entrance - Accessibility | Two universally accessible walkways are proposed in an asymmetric plan. Each walkway responds to site constraints, the Folger Rose Garden to the west, and narrow sidewalk conditions to the east. Rehabilitate and elevate the existing raised terrace with marble paving level with the North Entrance landing. Additional Information Setting is a character defining feature. |
| <image/> <image/> <complex-block><complex-block></complex-block></complex-block> | Setting is a character defining feature. North entrance currently has an elevated marble terrace with granite stairs. Secondary short ramp provides access to the North Entrance landing. North entrance terrace has marble paving, granite stairs, and the landing features decorative tile and replicated iron security gates. Existing non-historic ramp to the west of the raised terrace will be removed. Elevation of the terrace allows the removal of the short access ramp to the North Entrance landing, with the differential accomplished by adding one additional riser at the granite stairs. Adverse effect is minimized through the stone seat wall design and material to contextualize the walkways with the base of the AIB. Adverse effect is minimized through the maintenance of the historic landing material, terrace paving, and iron security gates. Elevation of the raised terrace obscures historic fabric at the sandstone piers. Landscaped areas will be maintained adjacent to the AIB and installed north of the walkway stone walls to minimize their visual presence and maintain the Folger Rose Garden landscape character. |

Proposed Effect Determination – Adverse Effect

| Design Details |
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| - Primary historic interior spaces (Halls, Courts, Ranges, northwest Pavilion Tower) will be rehabilitated to the period of significance of 1881-1902. |
| Additional Information |
| Sound and salvageable historic material will be reused or restored. Historic finishes will be restored or replicated. Historic open floor plan will be retained to the maximum extent possible. Modifications to the historic interiors will be in accordance with the <i>Secretary of the Interior's Standards</i> Rehabilitation approach. Historic interiors will sensitively accommodate modern system requirements. Smithsonian does not conduct Section 106 consultation on interior building changes because interior projects are not subject to NCPC review. (See Public Law No. 108-72, 117 Stat. 888, which deems the Smithsonian a federal agency for purposes of compliance with Section 106 of the National Historic Preservation act for projects in the District of Columbia requiring NCPC review and approval.) Rehabilitation and public use of the historic interiors are a primary goal of the project and are shown for informational purposes. |
| |

| Peature/ActionDesign DetailsRoof Replacement- Removal and replacement of existing roofing system, with new underlayments, insulation, gutters, and metal flashing. - Lead coated copper roofing will be replaced with zinc-tin coated copper.ImagesAdditional InformationState shingles are present at the Main Hall, North Tower, and West Wing exteriors. - Flat seamed lead coated copper is present at the West Wing Apse, Flag Tower, West Range, South Tower, and East Wing. - Flat seamed lead coated copper roofing if feature. - Widespread conditions for the slate include missing, broken, or loose shingles. - Lead coated cooper orofing has widespread thin solders and heavy-handed sealant repairs.Typical conditions of slate roofing shingles. Typical conditions of flat seamed copper roofing Widespread conditions of flat seamed copper roofing.Typical conditions of flat seamed copper roofing Widespread thin solders and heavy-handed sealant repairs. | Smithsonian Institution Building | Design Detaile |
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| roofing system, with new underlayments, insulation, gutters, and metal flashing. - In-kind replacement of the slate shingles. - Lead coated copper roofing will be replaced with zinc-tin coated copper.ImagesAdditional InformationSilte shingles are present at the Main Hall, North Tower, and West Wing exteriors. - Flat seamed lead coated copper is present at the West Wing Apse, Flag Tower, West Range, South Tower, and East Wing. - Roof materials are a character defining feature. - Widespread conditions for the slate include missing, broken, or loose shingles. - Lead coated copper roofing has widespread thin solders and heavy-handed sealant repairs.Typical conditions of slate roofing shingles Lead coated copper roofing has widespread thin solders and heavy-handed sealant repairs. | Feature/Action | Design Details |
| insulation, gutters, and metal flashing. - n-kind replacement of the slate shingles. - Lead coated copper roofing will be replaced with zinc-tin coated copper. Images Additional Information - Slate shingles are present at the Main Hall, North Tower, and West Wing exteriors. - Flat seamed lead coated copper is present at the West Wing Apse, Flag Tower, West Range, South Tower, and East Wing. - Roof materials are a character defining feature. - Widespread conditions for the slate include missing, broken, or loose shingles. - Lead coated copper roofing has widespread thin solders and heavy-handed sealant repairs. | Roof Replacement | |
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| Lead coated copper roofing will be replaced with zinc-tin coated copper. Images Additional Information Slate shingles are present at the Main Hall, North Tower, and West Wing exteriors. Flat seamed lead coated copper is present at the West Wing Apse, Flag Tower, West Range, South Tower, and East Wing. Roof materials are a character defining feature. Widespread conditions for the slate include missing, broken, or loose shingles. Lead coated copper roofing has widespread thin solders and heavy-handed sealant repairs. | | |
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| North Tower, and West Wing exteriors. - Flat seamed lead coated copper is present at the West Wing Apse, Flag Tower, West Range, South Tower, and East Wing. - Roof materials are a character defining feature.• Nidespread conditions for the slate include missing, broken, or loose shingles. - Lead coated copper roofing has widespread thin solders and heavy-handed sealant repairs. | Images | Additional Information |
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| at the West Wing Apse, Flag Tower, West Range, South Tower, and East Wing. - Roof materials are a character defining feature. - Widespread conditions for the slate include missing, broken, or loose shingles. - Lead coated copper roofing has widespread thin solders and heavy-handed sealant repairs. | | North Tower, and West Wing exteriors. |
| Range, South Tower, and East Wing Roof materials are a character defining feature Widespread conditions for the slate include missing, broken, or loose shingles Lead coated copper roofing has widespread thin solders and heavy-handed sealant repairs.Widespread thin solders and heavy-handed sealant repairs. | | - Flat seamed lead coated copper is present |
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| feature. • Widespread conditions for the slate include missing, broken, or loose shingles. - Lead coated copper roofing has widespread thin solders and heavy-handed sealant repairs. | | |
| •Widespread conditions for the slate include missing, broken, or loose shingles. •Lead coated copper roofing has widespread thin solders and heavy-handed sealant repairs. | | 5 |
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| Feature/Action | Design Details |
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| Roof Modifications – Energy Improvements | Removal and replacement of existing roofing system, with new underlayments and insulation to meet prescriptive energy requirements. Roof thickness to increase 5" at roof locations where the dimensional change will not be perceptible. No changes to roof thickness are proposed at visible roof edges such as the West Wing, or at high peaked tower roofs. |
| Images | Additional Information |
| <image/> <text><image/></text> | Roof Materials and Profiles is a character defining feature. Existing roof system includes little to no insulation. Most of the Castle's roof edges are behind crenellated parapets and other architectural features, and are at least 30' above grade. See "SIB – Roof Replacement" for more information. |
| Proposed Effect Determination – No Adverse Effe | 1 |

| Smithsonian Institution Building | |
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| Feature/Action | Design Details |
| Roof Modifications – Accessible Elevator Penthouse | New accessible elevator at the East Wing requires an elevator rooftop overrun bulkhead. Existing East Wing elevator bulkhead will be removed. |
| Images | Additional Information |
| Fisting East Wing elevator bulkhead noted with a red arrow. | Roof Profile is a character defining feature. Existing East Wing elevator is not code compliant. Proposed bulkhead will be visible from the south in the Haupt Garden and the National Mall within the East Wing roofscape, featuring decorative chimneys and hip and gable roof profile. Adverse effect may be minimized by cladding the bulkhead in a matching material to the surrounding slate roof. Adverse effect may be minimized through the bulkhead height and profile. |
| Account of the the the proposed elevatorAccount of the the the the proposed elevatorAccount of the the the the the proposed elevatorAccount of the | |
| Proposed Effect Determination – Adverse Effect | |

| Smithsonian Institution Building | |
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| Feature/Action | Design Details |
| Rooftop Mechanical Vents | Six existing rooftop louvered |
| | penthouses will be re-used. |
| | - Four penthouses on center of the Main |
| | Building will be expanded for air intake |
| | and exhaust and are non-visible behind |
| | existing architectural features. |
| Images | Additional Information |
| | - Roof Profile and Building Massing are |
| | character defining features. |
| | - Expansion of the existing louvered |
| | penthouses at the West Range will have |
| | minimal visual impact from the National |
| | Mall. |
| | - Enlarged existing outdoor air intake |
| 2 | roof penthouses are concealed behind |
| Castle roof plan, with enlarged exterior air intake and exhaust locations. | the North and South Towers. |
| Castle roor plan, with emarged exterior an intake and exhaust locations. | - Majority of the associated mechanical |
| | modifications occur within the interior |
| | attic space, and will not visually impact |
| | the roofing systems. - Existing historic cupola with louvers at |
| | the East Wing will be re-used without |
| INTAKE LOUVERED | expansion. |
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| Castle Main Building roof axonometric, view to north. | |
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| LOUVERED PENTHOUSE | and the second design of the second division |
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| nalline. | Existing rooftop mechanical penthouses and |
| Castle Main Building roof axonometric, view to south. | louvers. |
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| Proposed Effect Determination – No Adverse Effect | • |

| - Historic brick chimneys on the East Wing roof installed c. 1900 will be retained. | Smithsonian Institution Building | |
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| at the East Range roof to provide second means of egress from the East Wing. Exterior egress pathway is unenclosed with fall protection guardrails. • Modifications to two (2) windows to create access doors. Images Additional Information • Roof Profile is a character defining feature • Historic brick chimneys on the East Wing currently has only means of egress. A second means is required for occupancy. • Replaces existing visible mechanical penthouse added in 1973. Egress pathway railing and mechanical penthouse added in 1973. Egress pathway railing and mechanical penthouse added in 1973. Egress pathway railing and mechanical penthouse added in 1973. Egress pathway railing and mechanical penthouse are comparably in height. • Egress path and railings. • Adverse effect may be minimized through the railing design to reduce visibility. • Adverse effect may be minimized through the railing design to reduce visibility. • Adverse effect may be minimized through the railing design to reduce visibility. • Adverse effect way be minimized through the railing design to reduce visibility. • Adverse effect may be minimized through the railing design to reduce visibility. | Feature/Action | Design Details |
| Roof Profile is a character defining feature Historic brick chimneys on the East Wing roof installed c. 1900 will be retained. Fourth floor of the East Wing currently has only means of egress. A second means is required for occupancy. Replaces existing visible mechanical penthouse added in 1973. Egress pathway railing and mechanical penthouse are comparably in height. Egress path railing will be visible from various locations within the National Mall and to the south. Adverse effect may be minimized through the railing design to reduce visibility. | East Wing – 4 th Floor Egress | at the East Range roof to provide second means of egress from the East Wing. - Exterior egress pathway is unenclosed with fall protection guardrails. - Modifications to two (2) windows to |
| Historic brick chimneys on the East Wing roof installed c. 1900 will be retained. Fourth floor of the East Wing currently has only means of egress. A second means is required for occupancy. Replaces existing visible mechanical penthouse added in 1973. Egress pathway railing and mechanical penthouse are comparably in height. Egress path railing will be visible from various locations within the National Mall and to the south. Adverse effect may be minimized through the railing design to reduce visibility. | Images | Additional Information |
| | <image/> <text></text> | roof installed c. 1900 will be retained. - Fourth floor of the East Wing currently has only means of egress. A second means is required for occupancy. - Replaces existing visible mechanical penthouse added in 1973. Egress pathway railing and mechanical penthouse are comparably in height. - Egress path railing will be visible from various locations within the National Mall and to the south. - Adverse effect may be minimized through the railing design to reduce visibility. |
| | Proposed Effect Determination – Adverse Effect | |

| Smithsonian Institution Building | |
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| Feature/Action | Design Details |
| Windows | Building-wide window replacement of the non-historic window sash with blast resistant windows. Historic windows c. 1915 present in the West Range Clerestory and West Wing Apse, Smithson Crypt, and West Wing skylights will be restored and retained in-place. Blast resistant storm windows will be installed. Replacement windows will restore the historic finish color. Replacement windows will retain a diamond pane multi-light configuration. |
| Images | Additional Information |
| Image: state in the | Windows are a character defining feature. Majority of the existing windows are wood non-historic replacements installed in 1987-1992. Historic documentation notes that the original window fenestration was primarily wood double-hung sash with wood muntins of square panes set in a diamond pattern. Photographic documentation pre-1887 indicates the size of the diamond pane varied for each window type. Representative examples of historic windows at the West Range and North Tower will be retained in an off-site Smithsonian location to serve as an historic record. Blast resistant windows are required to meet Facility Security Level III. |

Proposed Effect Determination – No Adverse Effect

| Smithsonian Institution Building | |
|---------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action | Design Details |
| Exterior Masonry Restoration | Exterior red Seneca sandstone will be restored, including façade cleaning, and pointing. Maximum amount of sound sandstone will be preserved. Stone repairs include reattachment of displaced masonry, Dutchmen repairs, and select full replacement stones. |
| Images | Additional Information |
| <image/> <caption></caption> | Seneca sandstone exterior is a character defining feature. Seneca sandstone is no longer quarried, and the SI retains a significant stockpile at a Smithsonian storage facility. Stone replacement pieces will be in-kind, with hand tooling and finishing to maintain consistency with the stone color ranges, texture, and detailing. Consistent with the Secretary of the Interior's Standards Preservation approach. |
| Proposed Effect Determination – No Adverse Effect | |

| Feature/Action | Design Details |
|-------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Areaways | Recessed areaways and at-grade aprons proposed around the Castle perimeter. Recessed areaways bring light to public spaces in the basement level. Recessed areaways are wider on the south wide. Additional Information Setting is a character defining feature. |
| <image/> <image/> | Castle currently has 393' linear feet of areaways (recessed well), and 220' existing linear feet of apron (paving at grade). Proposed conditions combine and regularize the Castle base condition with 575' of areaways and 640' of apron. Seismic base isolation joint will be incorporated into the recessed areaways and aprons. Recessed areaways will be screened from view with placement of public paths and vegetation to obscure visibility from the Haupt Garden. Existing areaways feature tinted concrete and dressed sandstone where the grade was lowered. Adverse effect associated with the grade change may be minimized through exposed wall finish treatments as design develops through consultation. Wall finish treatment options will be reviewed in consultation through field mockups. See also "Site – SIB Areaways" for more information. |

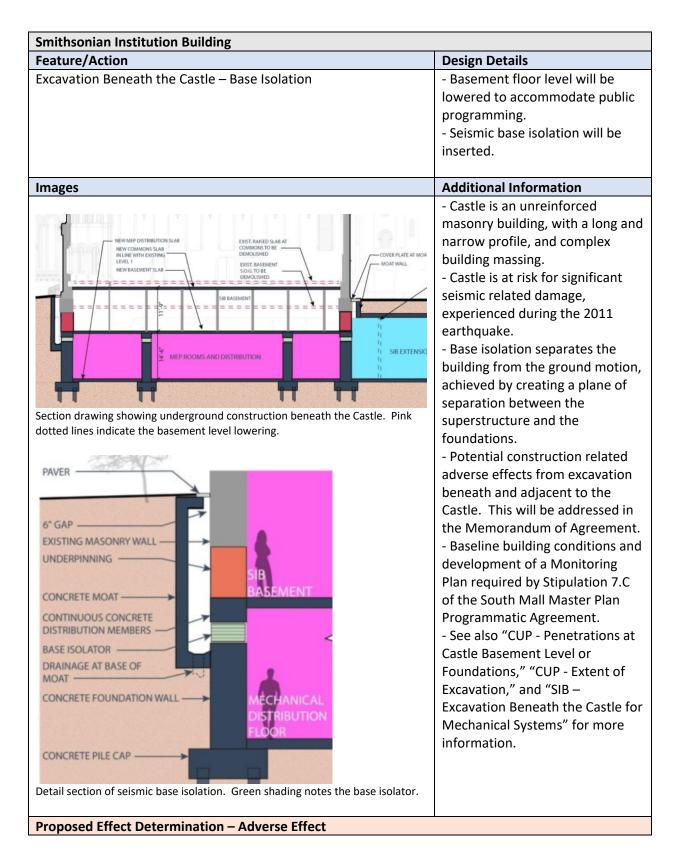
| | Design Details |
|----------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Feature/Action Seismic Control Joint | Design Details - Seismic base isolation joint is required around the Castle perimeter Seismic control joint cover is 18-24" and visible at grade and adjacent to the Castle. |
| Images | Additional Information |
| <image/> <image/> <image/> <image/> <image/> | Setting and Building Materials are character defining features. Seismic control joint is associated with base isolation, which separates the building from the ground motion. Achieved by creating a plane of separation between the superstructure and the foundations. Seismic base isolation joint will be incorporated into the recessed areaways and aprons. Seismic control joint will be immediately adjacent to the base of the Castle. Seismic control joint cover plate can accept a variety of finishes, including planting, gravel, pavers, and architectural features. Adverse effect may be minimized through consultation as the design develops through considering materials and treatments that minimize visual impact. Seismic control joint finish options will be reviewed in consultation through field mock-ups. |

| Feature/Action | Design Details |
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| New Basement Windows | Nine (9) basement windows are proposed at the basement level areaways on the Castle south elevation. Castle south elevation at the basement level contains some window openings. Proposed will enlarge existing window openings and create new masonry openings. |
| Images | Additional Information |
| Partial detail elevation demonstrating width alignment between masonry openings. | Proposed windows increase natural light to newly occupied public basement spaces utilizing existing window openings and creating new masonry openings Proposed alterations are below-grade within the areaways obscured from view in the Haupt Garden through placement of public paths and landscape. Masonry opening width will align with the width of the historic windows on the upper floors of the South Elevation. Proposed window fenestration will be differentiated from the historic consistent with the Secretary of the Interior's Standards. Proposed work is accommodated through the removal of a minimal amount of historic building fabric. See "SIB – Basement Doors" for more information. |

| Feature/Action | Design Details |
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| Basement Doors | Total of five (5) exterior doors required at the basement level for emergency egress. Two existing doors (Ranges) will be modified and re-used. One (1) existing window will be modified to serve as a door. Two (2) new doors openings will be created (Great Hall areaways). Egress doors will be solid metal or glazed. |
| Imagos | Additional Information |
| | Additional egress doors required for life safety based on the increased building population. All egress doors will be located at the Castle basement level within below-grade areaways. Areaways will be screened from view through the proposed landscape planting plan. Existing egress doors are solid metal. Basement egress doors accommodated with the removal of minimal historic building fabric. |
| West Range basement level plan. Proposed new egress doors at existing openings noted with the red triangles. | |
| Partial elevation of West Range areaway with new egress door. | Firsting egress door at the East Range south areaway. |
| Partial elevation of West Range areaway with new egress door. | Existing egress door at the East Range sout |

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| Feature/Action | Design Details |
| South Entrance - Accessibility | Universally accessible walkway is proposed on axis with the south entrance. |
| | - Walkway is flanked with sloping planted areas to grade. |
| | - Ramp design does not obscure the |
| | architectural features of the decorative south entrance surround. |
| | Historic fabric will remain beneath the walkway construction. |
| Images | Additional Information |
| <image/> <image/> <image/> <image/> | Setting is a character defining feature. South entrance retains historic Seneca sandstone stairs (two risers). Existing access ramp installed c. 2005 is constructed over the Seneca sandstone historic stairs. Universal design eliminates the need for a handrail, incorporating the walkway into the Haupt Garden landscape. Adverse effect is avoided through the use of brick paving and granite curbs in keeping with the Haupt Garden material palette. Adverse effect is avoided through the design revealing the sandstone door surround colonnettes and top sandstone tread. Adverse effect is avoided through retaining historic fabric beneath the walkway construction. |
| eib 944TH Accessible BATTEY WALES This Real This Real Present | |
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| Smithsonian Institution Building | | | | |
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| Feature/Action | Design Details | | | |
| North Entrance - Accessibility | Two universally accessible walkways proposed in a symmetrical plan to the east and west entrances of the North Tower. Walkways connected to proposed stone landings with Seneca sandstone walls. | | | |
| Images | Additional Information | | | |
| <image/> <text></text> | Setting is a character defining feature. East entrance to the North Tower features stairs and stone newel posts installed c. 1987. West entrance to the North Tower features an access ramp installed c. 1987. North Tower setting features a semi-symmetrical path arrangement to the east and west entrances around undulating planting beds with lush plantings. Historic fabric will not be removed or obscured by the construction of the walkways. Adverse effect is avoided through maintaining the existing landscape character and setting through the proposed curvilinear paths, planting beds, and lush plantings. See Site – Perimeter Security" for more information. | | | |



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| Smithsonian Institution Building | | | | |
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| Feature/Action | Design Details | | | |
| Excavation Beneath the Castle for Mechanical Systems and Distribution | New mechanical level proposed below the Castle basement for building specific mechanical equipment. Mechanical distribution level is aligned with the existing loading dock, Quadrangle B1 level, and the SIB Expansion. | | | |
| | Mechanical distribution level houses required equipment in addition to the CUP. Proposed mechanical distribution level reduces the impact of new systems on historic interior spaces. Mechanical distribution level is 14'6" for sufficient space for operations and maintenance. Potential construction related adverse effects from excavation beneath and adjacent to the Castle. This will be addressed in the Memorandum of Agreement. Baseline building conditions and development of a Monitoring Plan required by Stipulation 7.C of the South Mall Master Plan Programmatic Agreement. See also "CUP - Penetrations at Castle Basement Level or Foundations," "CUP - Extent of Excavation Beneath the Castle for Mechanical Systems" for more information. | | | |
| Proposed Effect Determination – Adverse Effect | | | | |

| Smithsonian Institution Building | | | | |
|--------------------------------------------------------|-----------------------------------------------------------------------------------------------|--|--|--|
| Feature/Action Rehabilitation of Historic Interiors | Design Details - Historic interiors will be restored to their | | | |
| | appearance within the period of significance | | | |
| | of 1847-1910. | | | |
| | - Upper Great Hall will return to public use | | | |
| | and programming. | | | |
| Images | Additional Information | | | |
| | - Principal historic interiors include the Lower | | | |
| | Great Hall, Upper Great Hall, Schermer Hall, | | | |
| | Children's Room, Smithson Crypt, and the Commons (West Wing). | | | |
| | - Non-historic infill and mezzanine level will | | | |
| | be removed from the Upper Great Hall. | | | |
| | - Basement level will be reprogrammed for | | | |
| | public use. | | | |
| | - Sound and salvageable historic material will | | | |
| | be reused or restored. - Historic finishes will be restored or | | | |
| | replicated. | | | |
| Proposed rendering of the Castle basement. | - Modifications to the historic interiors will | | | |
| | be in accordance with the Secretary of the | | | |
| | Interior's Standards Rehabilitation approach. | | | |
| | - Historic interiors will sensitively | | | |
| | accommodate modern system requirements. - Smithsonian does not conduct Section 106 | | | |
| | consultation on interior building changes | | | |
| | because interior projects are not subject to | | | |
| | NCPC review. (See Public Law No. 108-72, | | | |
| | 117 Stat. 888, which deems the Smithsonian | | | |
| LA AMERICAN SULAI . | a federal agency for purposes of compliance | | | |
| | with Section 106 of the National Historic Preservation act for projects in the District of | | | |
| Proposed rendering of the Commons (West Wing). | Columbia requiring NCPC review and | | | |
| | approval.) | | | |
| | - Rehabilitation and public use of the historic | | | |
| | interiors are a primary goal of the project | | | |
| | and are shown for informational purposes. | | | |

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| Arts & Industries Building | | | | |
|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
| Feature/Action | Design Details | | | |
| Cumulative Effects | Following actions identified with an adverse effect or potential adverse effect (construction related) for the Arts & Industries Building: Excavation of Basement Level (B1) Louvers at Courts Clerestory Windows Egress Doors on East and West Elevations Areaways South Entrance – Accessibility North Entrance - Accessibility | | | |
| Images | Additional Information | | | |
| <image/> | Potential construction related adverse effects from excavation or building vibration. This will be addressed in the Memorandum of Agreement. Baseline building conditions and development of a Monitoring Plan required by Stipulation 7.C of the South Mall Master Plan Programmatic Agreement. Considering the scale of the AIB, the cumulative extent of removal of historic fabric or alteration character defining features is minimal and isolated to select locations. Alterations to historic fabric from removals are limited to discreet masonry openings, limiting visible impact to the National Mall side of the building, or obscuring minimal amounts of architectural features associated with accessibility improvements. Visibility of the lowered grade and basement finish treatment will be screened behind vegetation in the Haupt Garden or semi-public areas at the surface | | | |

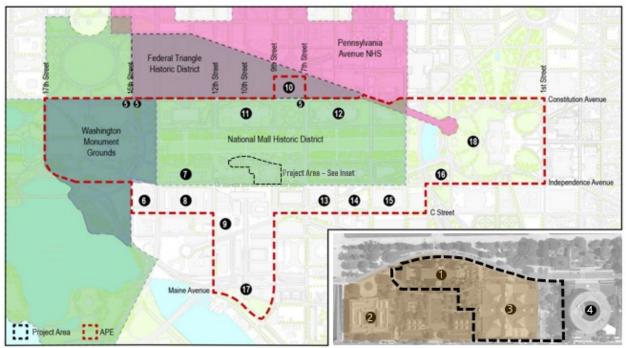
Proposed Effect Determination – No Cumulative Adverse Effect

| Feature/Action | Design Details |
|------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Cumulative Effects | Following actions identified with an adverse effect or potential adverse effect (construction related) for the Smithsonian Institution Building: - Roof Modifications – Accessible Elevator Penthouse - East Wing – 4 th Floor Egress - Areaways - Seismic Control Joint - Excavation Beneath the Castle – Base Isolation - Excavation Beneath the Castle for Mechanical Systems and Distribution |
| Images | Additional Information |
| <image/> <caption></caption> | Potential construction related adverse effects from excavation or building vibration. This will be addressed in the Memorandum of Agreement. Baseline building conditions and development of a Monitoring Plan required by Stipulation 7.C of the South Mall Master Plan Programmatic Agreement. Considering the longitudinal scale of the Castle, the cumulative effect of minor rooftop additions does not alter the roof profile or building massing. Alterations to historic fabric removals are limited and mostly below-grade, minimizing visible impact and maintaining façade configurations. Seismic control joint detailing will adversely effect the base of the Castle, and how its architecture interacts with grade and other building features such as the porte cochere. Extensive below-grade areaways alter the Castle's relationship with grade and introduce significant new building features. |

| National Mall Historic District | | |
|------------------------------------------------|------------------------------------------------------------------|--|
| Feature/Action | Design Details | |
| Cumulative Effects | Following actions identified with an adverse | |
| | effect or potential adverse effect (construction | |
| | related) for the National Mall Historic District: | |
| | - Perimeter Security | |
| | - Seismic Control Joint | |
| | - Central Utility Plant Excavation | |
| | - Castle B1 and Mechanical Distribution | |
| | Excavation | |
| | - AIB B1 Excavation | |
| | - Cooling Towers – Atmospheric Effect | |
| Images | Additional Information | |
| | - Perimeter security adversely effects the setting | |
| NATURAL | of both buildings, and relationship with the | |
| HISTORY | National Mall context. | |
| 2115 | - Setting is a character defining feature of both | |
| Summer and a second second | buildings. | |
| And States Contained States | - Potential construction related adverse effects | |
| | from excavation or building vibration. This will | |
| | be addressed in the Memorandum of Agreement | |
| | - Baseline building conditions and development | |
| | of a Monitoring Plan required by Stipulation 7.C | |
| | of the South Mall Master Plan Programmatic | |
| | Agreement. | |
| | - Haupt Garden, Ripley, Garden, and landscape | |
| CASTLE TO A | building settings will be restored in all disturbed | |
| | areas related to construction. | |
| ARTS& | - The 10 th Street vista looking north and south is a | |
| PREER QUADRANGLE INDUSTRIES | significant viewshed that contributes to the | |
| | significance of the National Mall Historic District. | |
| | - Appearance of two visible plumes from the | |
| 0.0 | existing and proposed cooling towers may | |
| Potential construction staging areas. | adversely effect the 10 th Street vista and the | |
| | National Mall Historic District. | |
| | - Temporary construction related impacts will | |
| | adversely effect the National Mall Historic | |
| | District. | |
| | | |
| Proposed Effect Determination – Cumulative Adv | erse Effect | |

Area of Potential Effects

The area of potential effects is defined as the geographic area within which an undertaking may directly or indirectly cause alternations in the character or use of historic properties. This Assessment of Effects on Historic Resources considered the effects of the Revitalization of the Historic Core project within the below mapped area. This area of potential effects was set by the Programmatic Agreement for the South Mall Campus Master Plan.



Area of potential effects map, noted with the red dotted line. The RoHC project area is noted with the black dotted line on the overall and inset maps.

| | WITHIN PROJECT AREA | | WITHIN AREA OF POTENTIAL EFFECTS | | |
|---|---------------------------------------------------------|---|---------------------------------------|----|-----------------------------------------|
| | National Mall Historic District | | Washington Monument Grounds | 10 | National Archives |
| | Smithsonian Institution Quadrangle Historic District | | Pennsylvania Avenue NHS | 11 | National Museum of Natural History |
| | Plan of the City of Washington | | Federal Triangle Historic District | 12 | National Gallery of Art (West Building) |
| 1 | Smithsonian Institution Building | 2 | Freer Gallery of Art | 13 | Federal Office Building 10B |
| 3 | Arts and Industries Building | 4 | Hirshhorn Museum and Sculpture Garden | 14 | Federal Office Building 6 |
| | | 5 | Bulfinch Gatehouses and Gateposts | 15 | Social Security Administration |
| | | 6 | Auditor's Building Complex | 16 | United States Botanic Garden |
| | | 7 | USDA Administration Building | 17 | Benjamin Banneker Park |
| | | 8 | USDA South Building | 18 | U.S. Capitol and Grounds |
| | | 9 | USDA Cotton Annex | | |

The historic properties identified in the above maps and table indicate properties that are individually listed in, or have been determined as eligible for individual listing in the National Register of Historic Places.